

MARSHALL MACHINES LIMITED

CIN: L29299PB1994PLC014605

Registered Office: C-86, Phase -V, Focal Point, Ludhiana - 141010

Email: headoffice@marshallcnc.com

Website: www.marshallcnc.com

FORM MGT-9**EXTRACT OF ANNUAL RETURN****As on the financial year ended on 31.03.2019**

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

Sr. No.	Particulars	
i	CIN	L29299PB1994PLC014605
ii	Registration Date	23 rd May, 1994
iii	Name of the Company	MARSHALL MACHINES LIMITED (Formerly known as MARSHALL MACHINES PRIVATE LIMITED)
iv	Category/Sub-category of the Company	Company Limited By Shares/Indian Non-Government Company
v	Address of the Registered Office and contact details	C-86, PHASE-V, FOCAL POINT, LUDHIANA, PUNJAB-141010 E-mail: headoffice@marshallcnc.com, csmarshall@marshallcnc.com Ph. No. 0161-5012406/07, Fax No. 0161-5012408 Website: www.marshallcnc.com
vi	Whether Listed Company	Listed with NSE EMERGE- SME PLATFORM
vii	Name, Address and Contact Details of Registrar and Transfer Agent, if any	BIGSHARE SERVICES PRIVATE LIMITED H.O. 1 st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri East, Mumbai- 400059 Ph. No. 022-62638200/62638299 E-mail: marketing@bigshareonline.com / investor@bigshareonline.com Delhi Office: 302, Kushal Bazar, 32-33, Nehru Palace, New Delhi- 110019 Ph. No. 011-42425004/47565852 Email id: bssdelhi@bigshareonline.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr. No.	Name and Description of main products/ services	NIC Code of the product/ service	% to total turnover of the Company
1	Manufacturing of electrical equipment, general purpose and special purpose Machinery & equipment	84581100	100%

1. Institutions									
(a) Mutual Funds	-	-	-	-	-	-	-	-	-
(b) Banks/FI	-	-	-	-	-	-	-	-	-
(c) Central Govt.	-	-	-	-	-	-	-	-	-
(d) State Govt(s).	-	-	-	-	-	-	-	-	-
(e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
(f) Insurance Companies	-	-	-	-	-	-	-	-	-
(g) FIs	-	-	-	-	-	-	-	-	-
(h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
(i) Others (Specify)									
Alternate Investment Funds	-	-	-	-	81000	-	81000	0.56	0.56
Foreign Portfolio Investor									
Sub-Total (B)(1)	-	-	-	-	81000	-	81000	0.56	0.56
2. Non-Institutions									
(a) Bodies Corp.									
(j) Indian	-	10	10	0.00	468500	50	468550	3.22	3.22
(ii) Overseas	-	-	-	-	-	-	-	-	-
(b) Individuals									
(i) Individuals Shareholders holding nominal share Capital upto Rs. 1.00 Lakhs	-	360	360	0.02	930950	850	931800	6.40	6.39
(ii) Individuals Shareholders holding nominal share Capital in excess of Rs. 1.00 Lakhs	-	-	-	-	2279500	-	2279500	15.67	15.67
(c) Others (specify)									
Non Resident Indian (Repat)	-	-	-	-	21000	-	21000	0.14	0.14
Non Resident Indian (Non-Repat)	-	-	-	-	9000	-	9000	0.06	0.06

Clearing Members	-	-	-	-	12000	-	12000	0.08	0.08
Trusts	-	-	-	-	-	-	-	-	-
Market Maker	-	-	-	-	69000	-	69000	0.47	0.47
Sub-Total (B)(2)	-	370	370	0.02	3789950	900	3790850	26.04	26.03
Total Public Shareholding (B)=(B)(1)+(B)(2)	-	370	370	0.02	3870950	900	3871850	26.60	26.59
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	-	2136000	2136000	100	14549100	900	14550000	100	0.00

ii. Shareholding of Promoters/Promoter Group

Sr. No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in Shareholding during the year
		No. of Shares	% of Total Shares of the Company	% of Shares Pledged / Encumbered to Total Shares	No. of Shares	% of Total Shares of the Company	% of Shares Pledged/ Encumbered to Total Shares	
(A) Promoters								
1	Gaurav Sarup	944745	44.23	0.00	4776950	32.83	0.00	-11.40
2	Prashant Sarup	1096665	51.34	0.00	4933325	33.91	0.00	-17.43
(B) Promoter Group (Directors Relatives)								
1	Adarsh Gautam	3726	0.17	0.00	0	0.00	0.00	-0.17
2	Ambika Sarup	10645	0.50	0.00	0	0.00	0.00	-0.50
3	Archana Sarup	79849	3.74	0.00	399245	2.74	0.00	-0.99
4	Siddhant Sarup	0	0	0.00	568630	3.91	0.00	3.91
	Total	2135630	99.98	0.00	10678150	73.39	0.00	-26.59

iii. Change in Promoters/Promoters Group Shareholding (please specify, if there is no change)

Sr. No.	Shareholding at the beginning of the year			Date	Increase/D decrease in Shareholding	Reason	Cumulative shareholding during the year	
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc.)	No. of Shares	% of total shares of the company				No of shares	% of total shares of the company
1	GAURAV SARUP (PROMOTER)							
	At beginning of the year	944745	44.23	01.04.2018				
	Changes during the year			05.05.2018	120645	Transfer	1065390	7.32
				05.05.2018	-110000	Transfer	955390	6.57
				25.05.2018	3821560	Bonus Shares	4776950	7.32
	At the end of the year			31.03.2019			4776950	32.83
2	PRASHANT SARUP (PROMOTER)							
	At beginning of the year	1096665	51.34	01.04.2018				
	Changes during the year			05.05.2018	-110000	Transfer	986665	6.78
				25.05.2018	3946660	Bonus Shares	4933325	33.91
	At the end of the year			31.03.2019			4933325	33.91
3	ADARSH GAUTAM (PROMOTERS GROUP/PROMOTERS RELATIVES)							
	At beginning of the year	3726	0.17	01.04.2018				
	Changes during the year			05.05.2018	-3726	Transfer	Nil	0.00
	At the end of the year			31.03.2019			Nil	0.00
4	AMBIKA SARUP (PROMOTERS GROUP/PROMOTERS RELATIVES)							
	At beginning of the year	10645	0.50	01.04.2018				
	Changes during the year			05.05.2018	-10645	Transfer	Nil	0.00
	At the end of the year			31.03.2019			Nil	0.00
5	ARCHANA SARUP (PROMOTERS GROUP/PROMOTERS RELATIVES)							
	At beginning of the year	79849	3.74	01.04.2018				
	Changes during the year			25.05.2018	319396	Bonus Shares	399245	2.74
	At the end of the year			31.03.2019			399245	2.74
6	SIDDHANT SARUP (PROMOTERS GROUP/PROMOTERS RELATIVES)							

	At beginning of the year	0	0	01.04.2018				
	Changes during the year			05.05.2018	113726	Transfer	113726	0.78
				25.05.2018	454904	Bonus Shares	568630	3.91
	At the end of the year			31.03.2019			568630	3.91

iv. Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)

Sr. No.	Shareholding at the beginning of the year			Date	Increase/Decrease in Shareholding	Reason	Cumulative shareholding during the year	
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc.)	No. of Shares	% of total shares of the company				No of shares	% of total shares of the company
1	ASHISH KACHOLIA	0	0	01.04.2018				
	Changes during the year			05.09.2018	465000	Allotment	465000	3.20
				14.09.2018	699000	Purchase	1164000	8.00
				28.09.2018	99000	Purchase	1263000	8.68
	At the end of the year			31.03.2019			1263000	8.68
2	JHAVERTI SECURITIES LTD.	0	0	01.04.2018				
	Changes during the year			05.09.2018	45000	Allotment	45000	0.31
				14.09.2018	180000	Purchase	225000	1.55
				21.09.2018	3000	Purchase	228000	1.57
				28.09.2018	-3000	Sale	225000	1.55
				05.10.2018	-3000	Sale	222000	1.53
				12.10.2018	-3000	Sale	219000	1.51
				14.12.2018	-3000	Sale	216000	1.48
				31.12.2018	3000	Purchase	219000	1.51
	At the end of the year			31.03.2019			219000	1.51
3	OMEGA FINHOLD PVT. LTD.	0	0	01.04.2018				
	Changes during the year			05.09.2018	93000	Allotment	93000	0.64
				28.09.2018	27000	Purchase	120000	0.82
	At the end of the year			31.03.2019			120000	0.82
4	MAYUR MUKUNDBHAI DESAI	0	0	01.04.2018				
	Changes during the year			05.09.2018	96000	Allotment	96000	0.66
	At the end of the year			31.03.2019			96000	0.66

5	VINEET SABOO HUF	0	0	01.04.2018				
	Changes during the year			05.09.2018	93000	Allotment	93000	0.64
	At the end of the year			31.03.2019			93000	0.64
6	VIKAS AGARWAL	0	0	01.04.2018				
	Changes during the year			05.09.2018	93000	Allotment	93000	0.64
				14.09.2018	30000	Purchase	123000	0.85
				02.11.2018	12000	Purchase	135000	0.93
	At the end of the year			31.03.2019			135000	0.93
7	EW CLOVER SCHEME	0	0	01.04.2018				
	Changes during the year			05.09.2018	279000	Allotment	279000	1.92
				12.10.2018	-6000	Sale	273000	1.88
				19.10.2018	-18000	Sale	255000	1.75
				26.10.2018	-3000	Sale	252000	1.73
				16.11.2018	-3000	Sale	249000	1.71
				30.11.2018	-3000	Sale	246000	1.69
				14.12.2018	-6000	Sale	240000	1.65
				21.12.2018	-9000	Sale	231000	1.59
				11.01.2019	-21000	Sale	210000	1.44
				18.01.2019	-12000	Sale	198000	1.36
				22.02.2019	-12000	Sale	186000	1.28
				01.03.2019	-9000	Sale	177000	1.22
				08.03.2019	-45000	Sale	132000	0.91
				15.03.2019	-30000	Sale	102000	0.70
				22.03.2019	-18000	Sale	84000	0.58
				29.03.2019	-3000	Sale	81000	0.56
	At the end of the year			31.03.2019			81000	0.56
8	CHOICE EQUITY BROKING PRIVATE LIMITED	0	0	01.04.2018				
	Changes during the year			05.09.2018	198000	Allotment	198000	1.36
				14.09.2018	-141000	Sale	57000	0.39
				21.09.2018	3000	Purchase	60000	0.41
				05.10.2018	-6000	Sale	54000	0.37
				12.10.2018	6000	Purchase	60000	0.41
				19.10.2018	-3000	Sale	57000	0.39
				26.10.2018	6000	Purchase	63000	0.43
				16.11.2018	-3000	Sale	60000	0.41
				23.11.2018	-3000	Sale	57000	0.39
				30.11.2018	6000	Purchase	63000	0.43
				07.12.2018	9000	Purchase	72000	0.49
				14.12.2018	-3000	Sale	69000	0.47

				28.12.2018	3000	Purchase	72000	0.49
				04.01.2019	-6000	Sale	66000	0.45
				11.01.2019	-3000	Sale	63000	0.43
				25.01.2019	9000	Purchase	72000	0.49
				01.02.2019	3000	Purchase	75000	0.52
				22.02.2019	3000	Purchase	78000	0.54
				01.03.2019	3000	Purchase	81000	0.56
				08.03.2019	-6000	Sale	75000	0.52
				15.03.2019	-6000	Sale	69000	0.47
	At the end of the year			31.03.2019			69000	0.47
9	NARENDRA KUMAR KACHOLIA HUF	0	0	01.04.2018				
	Changes during the year			05.09.2018	60000	Allotment	60000	0.41
	At the end of the year			31.03.2019			60000	0.41
10	PUSHPA KABRA	0	0	01.04.2018				
	Changes during the year			05.09.2018	3000	Allotment	3000	0.02
				14.09.2018	3000	Purchase	6000	0.04
				28.09.2018	3000	Purchase	9000	0.06
				01.02.2019	-9000	Sale	0	0.00
				29.03.2019	51000	Purchase	51000	0.35
	At the end of the year			31.03.2019			51000	0.35
11	WEALTH FIRST PORTFOLIO MANAGERS	0	0	01.04.2018				
	Changes during the year			05.09.2018	93000	Allotment	93000	0.64
				21.09.2018	-42000	Sale	51000	0.35
	At the end of the year			31.03.2019			51000	0.35

v. Shareholding of Directors and Key Managerial Personnel

Sr. No.	Shareholding at the beginning of the year			Date	Increase/D decrease in Shareholding	Reason	Cumulative shareholding during the year	
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc.)	No. Of Shares	% of total shares of the company				No of shares	% of total shares of the company
1	GAURAV SARUP	944745	44.23	01.04.2018				
	Changes during the year			05.05.2018	120645	Transfer	1065390	7.32
				05.05.2018	-110000	Transfer	955390	6.57
				25.05.2018	3821560	Bonus Shares	4776950	32.83
	At the end of the year			31.03.2019			4776950	32.83

2	PRASHANT SARUP	1096665	51.34	01.04.2018				
	Changes during the year			05.05.2018	-110000	Transfer	986665	6.78
				25.05.2018	3946660	Bonus Shares	4933325	33.91
	At the end of the year			31.03.2019			4933325	33.91
3	ARCHANA SARUP	79849	3.74	01.04.2018				
	Changes during the year			25.05.2018	319396	Bonus Shares	399245	2.74
	At the end of the year			31.03.2019			399245	2.74
4	SIDDHANT SARUP	0	0	01.04.2018				
	Changes during the year			05.05.2018	113726	Transfer	113726	0.78
				25.05.2018	454904	Bonus Shares	568630	3.91
	At the end of the year			31.03.2019			568630	3.91

V. INDEBTEDNESS: Indebtedness of the Company including interest outstanding/accrued but not due for payment

(Rs. In Lakhs)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	25,23,27,048	3,68,42,561	-	28,91,69,609
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	25,23,27,048	3,68,42,561	-	28,91,69,609
Change in Indebtedness during the financial year				
Addition	5,15,71,792	12,60,119	-	5,28,31,911
Reduction	-	-	-	-
Net Change	5,15,71,792	12,60,119	-	5,28,31,911
Indebtedness at the end of the financial year				
i) Principal Amount	30,38,98,840	3,81,02,680	-	34,20,01,520
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	30,38,98,840	3,81,02,680	-	34,20,01,520

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. REMUNERATION TO MANAGING DIRECTOR, WHOLE-TIME DIRECTORS/OR MANAGER:

(Amount in Rs.)

Sr. No.	Particulars of Remuneration	Name of MD/WTD/Manager			Total
		Gaurav Sarup (Managing Director)	Prashant Sarup (Whole Time Director)	Siddhant Sarup (Whole Time Director)	
1	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax, 1961	2700000	2700000	1200000	6600000
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) of Income Tax Act, 1961				
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission -As % of Profit -Others, Specify	-	-	-	-
5	Others, Please Specify	-	-	-	-
	Total (A)	2700000	2700000	1200000	6600000
	Ceiling as per the Act	As per Section 197, 198 and read with Schedule V of the Companies Act, 2013			

B. REMUNERATION TO OTHER DIRECTORS

(Amount in Rs.)

Sr. No.	Particulars of Remuneration	Name of Directors		Total
		Harish Pal Kumar	Satvinder Singh	
1	Independent Directors			
	Fee for attending board committee meetings	300000	300000	600000
	Commission	-	-	-
	Others, please specify	-	-	-
	Total (1)	300000	300000	600000
2	Other Non-Executive Directors	Archana Sarup	-	-

	Fee for attending board meetings	-	-	-
	Commission			
	Others, please specify Remuneration & Conveyance to Directors	1800000	-	1800000
	Total (2)	1800000	-	1800000
	Total (B)=(1)+(2)	2100000	300000	2400000
	Total Managerial Remuneration			
	Overall ceiling limit as the Act	As per Section 197, 198 and read with Schedule V of the Companies Act, 2013		

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/WTD/MANAGER

(Amount in Rs.)

Sr. No.	Particulars of Remuneration	Key Managerial Personnel		
		Sumit Malhotra (Company Secretary)	Phulljit Singh Grover (Chief Financial Officer)	Total
1	Gross Salary			
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax, 1961	264000	888000	1152000
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) of Income Tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission -As % of Profit -Others, Specify	-	-	-
5	Others, Please Specify	-	-	-
	Total	264000	888000	1152000

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES: NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees Imposed	Authority (RD/NCLT/COURT)	Appeal made, if any (Give Details)
A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
B. DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-